



Account Type

- Operator Holding Account (OHA)
- Regulated Entity Holding Account (REHA)
- Aircraft Operator Holding Account (AOHA)
- Maritime Operator Holding Account (MOHA)
- Trading Account
- Verifier Account

Swedish Energy Agency
 Union Registry
 Box 310
 631 04 ESKILSTUNA
 SWEDEN

Application for Opening an Account in the Union Registry

This form is used for applying to open an account in the Union Registry. Fields marked with a * are to be filled in only if the account holder is a natural person.

1 Account Holder (organisation)

Company name/Name*		
Company Reg. No./Passport No./Swedish Personal No.*		VAT-number (Incl. Country Code)
Address		Telephone Number 1
Postcode	City	Telephone Number 2
Country	Email Address	
Date of Birth*	Place of Birth*	Country of Birth*

2 Authorised Representatives (at least two representatives need to be assigned to each account)

Please state whether this representative is a person authorised to sign for the firm. <input type="checkbox"/> Authorised Signatory		
First name	Surname	Telephone Number 1
Address (Company)		Telephone Number 2
Postcode	City	Country
Swedish Social Security Number*	Email Address	
Type of Identity Document <input type="checkbox"/> National ID Card <input type="checkbox"/> Passport <input type="checkbox"/> Other	ID Document Number	Expiry Date of the Document

**2 Authorised Representatives (cont'd)**

Please state whether this representative is a person authorised to sign for the firm.

 Authorised Signatory

First name	Surname	Telephone Number 1
Address (Company)		Telephone Number 2
Postcode	City	Country
National Social Security Number	Email Address	
Type of identity document <input type="checkbox"/> National ID Card <input type="checkbox"/> Passport <input type="checkbox"/> Other	ID document number	Expiry Date of the document

Please state whether this representative is a person authorised to sign for the firm.

 Authorised Signatory

First name	Surname	Telephone Number 1
Address (Company)		Telephone Number 2
Postcode	City	Country
National Social Security Number	Email Address	
Type of identity document <input type="checkbox"/> National ID Card <input type="checkbox"/> Passport <input type="checkbox"/> Other	ID document number	Expiry Date of the ID Document

For section 3, please select **one** of the account types and fill in the requested information.**3a Information for the Opening of Operator Holding Account for Stationary Installation (OHA)**

Account Name

Permit Identification Number

3b Information for the Opening of Regulated Entity Holding Account (REHA)

Account Name

Permit Identification Number

3c Information for the Opening of an Aircraft Operator Holding Account (AOHA)

Account Name

Unique code in accordance with Commission Regulation 748/2009 (CRCO No.)



3d Information for Opening a Maritime Operator Holding Account (MOHA) (cont'd)

Account Name
IMO unique company Number (7 numbers)
Shipping company country of registration

Responsibility of the ETS Obligations

Document listing the ships under its responsibility <input type="checkbox"/> No <input type="checkbox"/> Yes
Document indicating that the ETS obligation has been mandated by the shipowner to another organisation <input type="checkbox"/> No <input type="checkbox"/> Yes

3e Information for Opening a Trading Account

Account Name
LEI Code (Legal Entity Identifier)

Authorised Signatories that a Criminal Record will be Ordered for or Submitted of

Name	National Social Security Number
Name	National Social Security Number
Name	National Social Security Number

Beneficial Owner

Name	National Social Security Number	Citizenship
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3f Information for Opening a Verifier Account

Account Name
Country of Accreditation

4 Changes for the Account's Rights in the Union Registry (voluntary)

You can apply to have the preselected settings for accounts in the Union Registry to be changed. These changes will also have to be made in the Union Registry.

Authorised representatives should be able to do transactions to accounts outside of the Trusted Account List (TAL) without approval from a secondary authorised representative.

(X) (No, preselected in the Registry) Yes

Allow transactions to accounts not on the Trusted Account List (TAL), (this only applies to operator accounts)

(X) (No, preselected in the Registry) Yes



5 Additional Information

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6 Signature (applicant)

City and Date
Signature of the Account Holder (person authorised to sign for the organisation or a proxy)
Name



Explanations of the Fields in the Form

Account Types in EU ETS

Operator Holding Account (OHA): This account type is used to trade emission allowances and to fulfil compliance obligations under the EU ETS (record emissions, have emissions verified, and surrender allowances). The account is free of charge.

Regulated Entity Holding Account (REHA): This account type is used to trade emission allowances and to fulfil compliance obligations under EU ETS2 (record emissions, have emissions verified, and surrender allowances). The account is free of charge.

Aircraft Operator Holding Account (AOHA): This account type is used by aircraft operators to trade emission allowances and to fulfil compliance obligations under the EU ETS (record emissions, have emissions verified, and surrender allowances). The account is free of charge.

Maritime Operator Holding Account (MOHA): This account type is used by maritime operators to trade emission allowances and to fulfil compliance obligations under the EU ETS (record emissions, have emissions verified, and surrender allowances). The account is free of charge.

Trading Account (TA): This account is voluntary and used for trading emission allowances. Trading accounts may be opened by natural or legal persons. The account has an application fee and an annual fee.

Verifier Account: This account is used to approve reported emissions submitted by operators under the EU ETS. The verifier must apply for an account and hold valid accreditation as a verifier. The account is free of charge.

1 Account Holder

The account holder is the legal or natural person that is responsible for the account in the Union Registry. Here you fill in the contact and application details of the account holder.

2 Authorised Representatives

The representative is the person representing the account holder in the Union registry. Here you fill in the contact and application details for the representative. If more fields are needed for the representatives, please use additional forms and only fill in the information about the representatives.

3 (a, b, c, d, e, f)

Enter information about the type of account that you wish to open. Select **one** of the account types. Below are definitions of the terms used in this section.

LEI Code / LEI Number (Legal Entity Identifier): The code is a global identification number for companies and other organisations active in the securities markets. Companies and sole proprietorships that trade in derivatives are subject to reporting obligations and must register to obtain an LEI code. Registration for an LEI code is carried out through an authorised issuer. The LEI code must be provided in the Union Registry.

Authorised Signatory: An authorised signatory is the person who is legally entitled to sign on behalf of a legal entity, perform legal acts, and enter into agreements that are binding for the legal entity. When applying to open a trading account, an extract from the criminal records register is required for the account holder's authorised signatory or, in the case of a natural person, for the beneficial owner and/or the person responsible for operations.

Beneficial Owner: A beneficial owner is the person or persons who ultimately own or control, for example, a company or an association. Beneficial owners must be reported to the Swedish Companies Registration Office (Bolagsverket). The beneficial owner must also be specified in the Union Registry.

Company's IMO Identification Number: Every shipping company, private individual, or business that owns a vessel over 100 gross tonnages SDVengaged in international voyages must have a unique identification number (company ID). The company's IMO number is a unique seven-digit number.

Country of Registration of the Company: The country in which the company or shipping company is registered.

List of ships: The list must be submitted by the shipowner if the shipowner is responsible for the operation of the vessel. The list must include all vessels for which the shipowner is responsible, along with the IMO identification numbers for the vessels.

Proof of Authorisation to Fulfil Obligations under the Emissions Trading System: If you do not submit a vessel list, proof of authorisation must be provided. If someone other than the shipowner is responsible for the operation of the vessel and has therefore assumed all obligations under the Emissions Trading Directive, you must submit documentation showing that the organisation or person has been authorised by the shipowner to fulfil the obligations under the emissions trading system.

5 Change of account holder preferences for transactions in the Union Registry

Preferences for transactions on accounts can be monitored in the Union Registry. Operator holding accounts, aircraft operator holding accounts and maritime operator holding accounts can choose to allow transactions to accounts outside of the Trusted account-list. It is also possible to allow transactions in the Union registry to be approved by only one authorised representative instead of two.

6 Signature

The form needs a signature from an authorised signatory or an authorised representative with a Power of Attorney for the account holder.

Contact Information

EU Swedish Emissions Registry Team
Swedish Energy Agency
Tel. +46 16-544 23 00, Monday and Wednesday 09:00–12:00
Email: cuets@swedishenergyagency.se

This Form is Sent Along with Additional Documentation to:

Swedish Energy Agency
Union Registry
Box 310
631 04 ESKILSTUNA
SWEDEN

Information About the Energy Agency's Processing of Personal Data

The Swedish Energy Agency is the responsible for the processing of personal data carried out within the agency's operations.

Rules governing how personal data may be processed are set out in Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (the General Data Protection Regulation), as well as in the Swedish Act (2018:218) containing supplementary provisions to the EU General Data Protection Regulation.

Personal data refers to any information that can be directly or indirectly linked to a living natural person.

For the Swedish Energy Agency to process personal data, there must be a legal basis and a purpose for the processing. The legal basis is the exercise of official authority. The purpose of processing the personal data you provide is to handle matters in which you, as a user, have submitted applications for authorization, and to administer the register in accordance with the Emissions Trading Act (2004:1199) and Commission Regulation (EU) No 389/2013.

You have the right to request information about and access to the personal data concerning you. You may also request that incorrect data about you be corrected, that data be erased, or that processing be restricted. In certain cases, you may also have the right to object to the Swedish Energy Agency's processing of personal data. If you believe that the agency's processing of your personal data violates the General Data Protection Regulation, you may file a complaint with the Swedish Data Protection Authority.

If you have any questions regarding the processing of your personal data, you may contact the Data Protection Officer at the Swedish Energy Agency.

Swedish Energy Agency
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631 04 Eskilstuna
E-post: registrator@swedishenergyagency.se