Swedish Energy Agency

According to the Commission Delegated Regulation (EU) 2019/1122, the Swedish Energy Agency shall review that the account information submitted by the account holder remains complete, up-to-date, accurate and true once every three or five years. This means that account holders in the Union Registry needs to submit new documentation to the Agency to retain their authorisation in the Registry.

Swedish Energy Agency
Union Registry
Box 310
631 04 ESKILSTUNA
SWEDEN

Review of Documentation for Account Holder in the Union Registry

This form is used for account holders that are included in this year's annual review. Fields marked with a * are to be filled in only if the account holder is a natural person.

1 Account Holder (organisation)

Company name/Name*		
Company Reg. No./Passport No./Swedish Personal No.	VAT-number (Incl. Country Code)	
Address		Telephone Number 1
Postcode	City	Telephone Number 2
Country	Email Address	
Date of Birth*	Place of Birth*	Country of Birth*

In the following section, please enter the information for **one** account type.

2a Information for Operator Holding Account for Stationary Installation (OHA)

Account Name in the Union Registry	Account Number in the Union Registry
Permit Number	

2b Information for Regulated Entity Holding Accounts (REHA)

Account Name in the Union Registry	Account Number in the Union Registry
Permit Number	

2c Information for Aviation Operator Holding Accounts (AOHA)

Account Name in the Union Registry	Account Number in the Union Registry
Unique code under Commission Regulation 748/2009	

2d Information for Maritime Operator Holding Accounts (MOHA)

Account Name in the Union Registry	Account Number in the Union Registry
IMO unique company Number (7 numbers)	Shipping company country of registration

Further Information Regarding the Responsibility of the ETS Obligations

List of ships, as well as their respective IMO ship identification number (if the shipowner is responsible for the ETS obligations) ☐ No ☐ Yes
Document indicating that the ETS obligation has been mandated by the shipowner to another organisation ☐ No ☐ Yes

2e Information for Trading Accounts

Account Number in the Union Registry	Account Number in the Union Registry
LEI Code (Legal Entity Identifier)	

Authorised Signatories that a Criminal Record will be Ordered for or Submitted of

Name	National Social Security Number
Name	National Social Security Number
Name	National Social Security Number

Beneficial Owner

Name	National Social Security Number	Citizenship

2f Information for Verifier Accounts

Account Name in the Union Registry	Account Number in the Union Registry
Country of Accreditation	

3 Additional Information

4 Signature

City and Date
Signature of the Account Holder (person authorised to sign for the organisation or a proxy)
Name

Explanations of the Fields in the Form

Account Types in EU ETS

Operator Holding Account (OHA): This account type is used to trade emission allowances and to fulfil compliance obligations under the EU ETS (record emissions, have emissions verified, and surrender allowances). The account is free of charge.

Regulated Entity Holding Account (REHA): This account type is used to trade emission allowances and to fulfil compliance obligations under EU ETS2 (record emissions, have emissions verified, and surrender allowances). The account is free of charge.

Aircraft Operator Holding Account (AOHA): This account type is used by aircraft operators to trade emission allowances and to fulfil compliance obligations under the EU ETS (record emissions, have emissions verified, and surrender allowances). The account is free of charge.

Maritime Operator Holding Account (MOHA): This account type is used by maritime operators to trade emission allowances and to fulfil compliance obligations under the EU ETS (record emissions, have emissions verified, and surrender allowances). The account is free of charge.

Trading Account (TA): This account is voluntary and used for trading emission allowances. Trading accounts may be opened by natural or legal persons. The account has an application fee and an annual fee.

Verifier Account: This account is used to approve reported emissions submitted by operators under the EU ETS. The verifier must apply for an account and hold valid accreditation as a verifier. The account is free of charge.

1 Account Holder

The account holder is the legal or natural person that is responsible for the account in the Union Registry. Here you fill in the contact and application details of the account holder.

2 (a, b, c, d, e, f)

Enter information about the type of account that the review is regarding. Select **one** of the account types. Below are definitions of the terms used in this section.

LEI Code / LEI Number (Legal Entity Identifier): The code is a global identification number for companies and other organisations active in the securities markets. Companies and sole proprietorships that trade in derivatives are subject to reporting obligations and must register to obtain an LEI code. Registration for an LEI code is carried out through an authorised issuer. The LEI code must be provided in the Union Registry.

Authorised Signatory: An authorised signatory is the person who is legally entitled to sign on behalf of a legal entity, perform legal acts, and enter into agreements that are binding for the legal entity. When applying to open a trading account, an extract from the criminal records register is required for the account holder's authorised signatory or, in the case of a natural person, for the beneficial owner and/or the person responsible for operations.

*Beneficial Owner***:** A beneficial owner is the person or persons who ultimately own or control, for example, a company or an association. Beneficial owners must be reported to the Swedish Companies Registration Office (Bolagsverket). The beneficial owner must also be specified in the Union Registry.

Company's IMO Identification Number: Every shipping company, private individual, or business that owns a vessel over 100 gross tonnages SDVengaged in international voyages must have a unique identification number (company ID). The company's IMO number is a unique seven-digit number.

Country of Registration of the Company: The country in which the company or shipping company is registered.

List of ships: The list must be submitted by the shipowner if the shipowner is responsible for the operation of the vessel. The list must include all vessels for which the shipowner is responsible, along with the IMO identification numbers for the vessels.

Proof of Authorisation to Fulfil Obligations under the Emissions Trading System: If you do not submit a vessel list, proof of authorisation must be provided. If someone other than the shipowner is responsible for the operation of the vessel and has therefore assumed all obligations under the Emissions Trading Directive, you must submit documentation showing that the organisation or person has been authorised by the shipowner to fulfil the obligations under the emissions trading system.

4 Signature (Applicant)
The form needs a signature from an authorised signatory or an authorised representative with a Power of Attorney for the account holder.

Contact Information
EU Swedish Emissions Registry Team
Swedish Energy Agency
Tel. +46 16-544 23 00, Monday and Wednesday 09:00–12:00
Email: euets@swedishenergyagency.se

This Form is Sent Along with Additional Documentation to:
Swedish Energy Agency
Union Registry
Box 310
631 04 ESKILSTUNA
SWEDEN

Information About the Energy Agency's Processing of Personal Data

The Swedish Energy Agency is the responsible for the processing of personal data carried out within the agency's operations.

Rules governing how personal data may be processed are set out in Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (the General Data Protection Regulation), as well as in the Swedish Act (2018:218) containing supplementary provisions to the EU General Data Protection Regulation.

Personal data refers to any information that can be directly or indirectly linked to a living natural person.

For the Swedish Energy Agency to process personal data, there must be a legal basis and a purpose for the processing. The legal basis is the exercise of official authority. The purpose of processing the personal data you provide is to handle matters in which you, as a user, have submitted applications for authorization, and to administer the register in accordance with the Emissions Trading Act (2004:1199) and Commission Regulation (EU) No 389/2013.

You have the right to request information about and access to the personal data concerning you. You may also request that incorrect data about you be corrected, that data be erased, or that processing be restricted. In certain cases, you may also have the right to object to the Swedish Energy Agency's processing of personal data. If you believe that the agency's processing of your personal data violates the General Data Protection Regulation, you may file a complaint with the Swedish Data Protection Authority.

If you have any questions regarding the processing of your personal data, you may contact the Data Protection Officer at the Swedish Energy Agency.

Swedish Energy Agency
Box 310
631 04 Eskilstuna
E-post: registrator@swedishenergyagency.se